

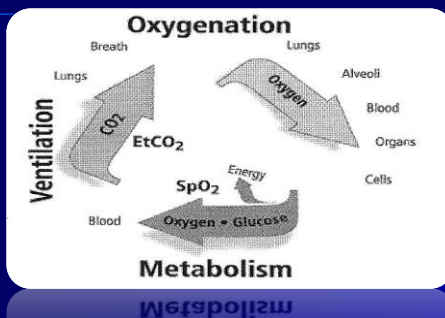
# Capnography

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## Capnography

- non invasive monitor for ventilation
  - measures end tidal CO<sub>2</sub>
- early detection
  - hyperventilation ⇔ hypoventilation
  - airway obstruction ⇔ apnea
  - correct ET tube placement
  - MH rise in CO<sub>2</sub>

## Physiology of Ventilation



## Physiology of Ventilation

- at end of inspiration
  - airway & lungs are filled with CO<sub>2</sub> free gas
- CO<sub>2</sub> diffuses from blood into the lungs
  - concentration depends upon alveolar V/Q
  - alveoli with high V/Q will have less CO<sub>2</sub>
    - most exhaled during early Phase II
  - alveoli with low V/Q will have high CO<sub>2</sub>
    - exhaled during late Phase III

## Terminology

- Capnography
  - graphic display of respiratory CO<sub>2</sub> concentration or partial pressure versus time or volume
- Capnogram
  - CO<sub>2</sub> waveform
- Capnometry
  - measurement & numerical display of CO<sub>2</sub> concentration by a capnometer

## Capnography Technology

- infrared spectroscopy
  - most commonly used in clinical practice
- Raman spectroscopy ⇔ argon laser
- Mass spectroscopy
- Photoacoustic gas
- Colorimetric

## Capnography Technology

- infrared ( IR )
  - CO<sub>2</sub> molecules absorb IR at wavelength of 4.26 μm
  - water vapor & nitrous oxide absorb near this wavelength
    - use filters to prevent interference
  - IR absorption is proportional to the concentration of CO<sub>2</sub> in the sample

## Capnography Technology

- water traps for IR units
  - eliminate water vapor from the sample
    - water can absorb IR ⇔ get erroneous result
    - ↓ ETCO<sub>2</sub> reading
  - water in sample is at body temperature ( 37<sup>o</sup> ) while the unit is at lower temperature ( 25<sup>o</sup> )
    - can overestimate CO<sub>2</sub> number by ~ 1.13 mm of Hg
  - disposable item that must be maintained

## Capnography Technology

- Colorimetric Disposable Devices
  - used to verify ET tube placement
  - chemically treated foam inside a plastic housing
  - color change if CO<sub>2</sub> present
  - single use item

## Mainstream Capnograph

- CO<sub>2</sub> sampling sensor is attached directly to the ET tube
  - IR sensor
  - no gas sampling back to monitor
- sensors are heated to 40<sup>0</sup> C to eliminate water vapor
- **faster response time than sidestream**
- sensor is hot & can burn the patient

## Sidestream Capnograph

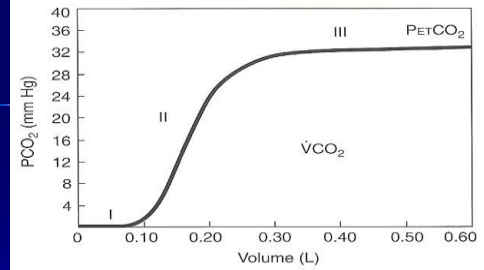
- CO<sub>2</sub> sensor is in the monitor
- pump aspirates a gas sample to unit
  - optimal rate ⇔ 50 to 200 ml/min
- sample size exceeds expired gas flow
  - contaminate sample ⇔ PETCO<sub>2</sub> ↓
- delay of 1 to 4 seconds to see
  - PETCO<sub>2</sub> waveform & number
- can use on **intubated & nonintubated**
- **tracing is more rounded than mainstream**

## Volume Capnogram

- **PCO<sub>2</sub> versus Volume in liters**
- Phase I: anatomical & instrument dead space ⇔ no CO<sub>2</sub>
- Phase II: see a steep S wave ⇔ mix of deadspace gas + alveolar gas
- Phase III: CO<sub>2</sub> rich alveolar gas

## Volume Capnogram

- measures the volume of expired CO<sub>2</sub>
  - no inspiratory volume monitoring
- determine volumes for
  - airway ( anatomic ), physiologic, & alveolar dead spaces



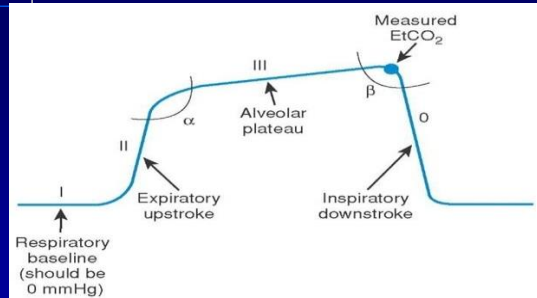
- Phase II & III analysis
  - analyze obstruction & V/Q abnormalities

Gravenstein. Capnography, 2<sup>nd</sup> edition

## Time Capnogram

- PCO<sub>2</sub> versus time ( seconds )
- most common capnograph in clinical practice
- continuous tracings ⇔ changes in waveform describe ventilatory status
- inspiratory segment: Phase 0
- expiratory segments: Phase I, II, III, IV

## Time Capnogram



Internet images

## Time Capnogram

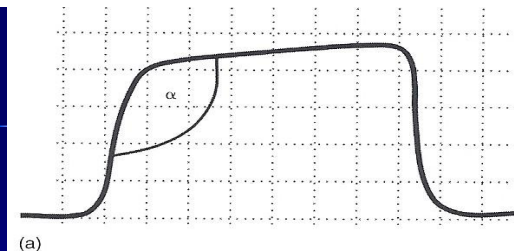
- Phase I
  - starts at end of inspiratory phase 0
  - little to no CO<sub>2</sub> in external air  $PCO_2 = 0$
  - beginning of expiratory phase  $\Rightarrow PCO_2$  still is 0
    - anatomical dead space
    - not involved in gas exchange

## Time Capnogram

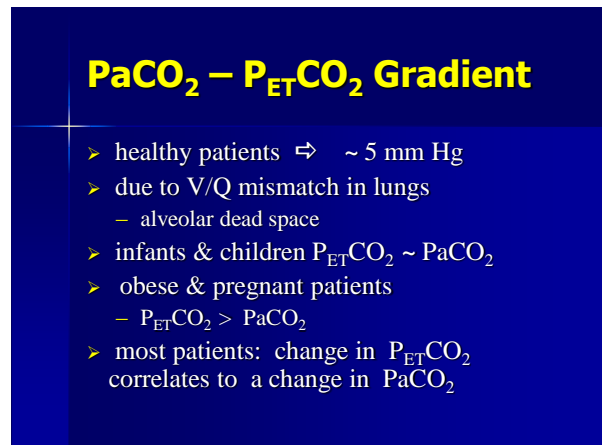
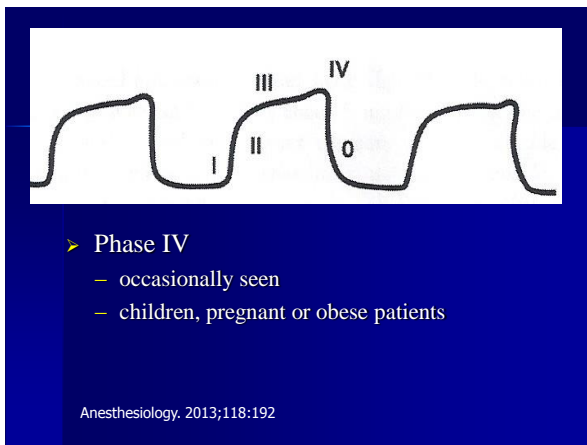
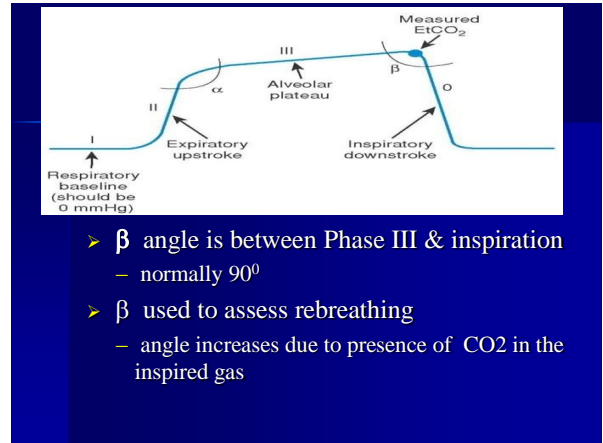
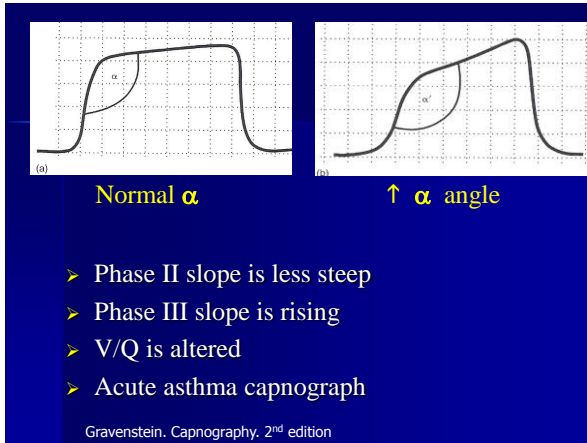
- Phase II
  - see a sharp rise in PETCO<sub>2</sub>
  - mixture of dead space gas + alveolar gas
- Phase III
  - CO<sub>2</sub> rich alveolar gas
  - graph is a rising slope = alveolar plateau
  - if all alveoli had equal amounts of CO<sub>2</sub>
    - Phase III would be a flat line

## Time Capnogram

- alveolar plateau
  - near the end of expiration the volume of gas is less than at the beginning
  - CO<sub>2</sub> in that low volume is now more concentrated
  - slope of the curve rises upward
- V/Q also involved
  - low V/Q = under ventilated alveoli
  - $\uparrow$  PETCO<sub>2</sub>



- $\alpha$  angle
  - angle between Phase II & III
  - normally  $110^\circ$ 
    - gets more obtuse as slope of Phase III rises
  - angle depends upon  $V/Q$  in lungs



## PaCO<sub>2</sub> – P<sub>ET</sub>CO<sub>2</sub> Gradient

- ↑ gradient with
  - hypovolemia, anesthesia, and decreased CO
  - ↑ in age, pulmonary disease, & PE
- ↓ gradient with
  - large tidal volumes & hypoventilation

## Normal Values

Parameter	Adult Range
Respiratory Rate	10 - 15 breath/min
Tidal Volume	6 – 10 ml/kg
Minute Ventilation	4 – 10 L/min
P <sub>ET</sub> CO <sub>2</sub>	35 to 45 mm Hg

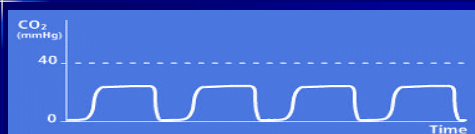
## Normal Capnograph



- PETCO<sub>2</sub> 40 mm Hg
- normal Phase I, II, III
- normal  $\alpha$  and  $\beta$  angles

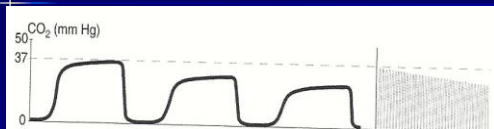
Internet Bing Images

## Hyperventilation



- PETCO<sub>2</sub> ↓
- waveform ⇔ low amplitude & narrow
- respiratory rate ↑
- baseline is at zero

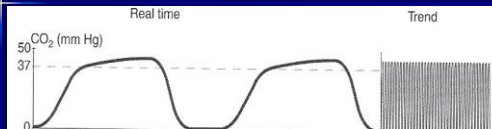
## Hyperventilation



- as hyperventilate
  - blow off CO<sub>2</sub>
  - amplitude of waveform = PETCO<sub>2</sub>
  - width of waveform = respiratory rate

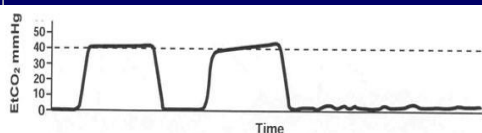
Gravenstein. Capnography 2<sup>nd</sup> edition

## Hypoventilation



- amplitude is high ⇔ high PETCO<sub>2</sub>
- width is wide ⇔ slow respiratory rate
- arterial hypercarbia

## Apnea



- loss of waveform
  - in intubated patient = apnea or dislodged ET
  - non intubated patient
    - complete laryngospasm ⇔ immediate onset
    - complete airway obstruction, apnea, mouth breathing

## Shark Fin Waveform



- Phase III slope has steep rise
- acute bronchospasm

Internet Bing Images



## Rebreath CO2



- CO2 is not completely washed out
- causes
  - additional instrument dead space between ET tube and sidestream sampling device
  - malfunctioning exhalation valve
  - exhausted CO2 absorbent
  - **CO2 trapped by rubber dam?**

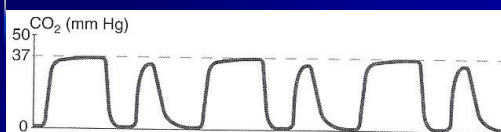
## Curare Cleft



- patient is not completely paralyzed
- initiates a breath on his own against ventilator
- most likely due to diaphragm
- in a spontaneous breathing patient
  - a hiccup, rebreathing, response to pain

<http://www.capnography.com>

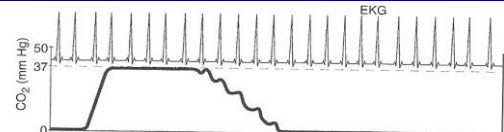
## Mechanical Ventilation



- waves 1, 3, 5 are from the ventilator
- waves 2, 4, 6 are from spontaneous breaths with large enough tidal volume to get tracing

Gravenstein. Capnography 2<sup>nd</sup> edition

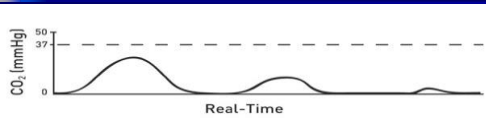
## Cardiac Oscillations



- secondary peaks at end of waveform
  - caused by beating of heart against lungs
  - only seen if respiratory rate is very slow or tidal volume is small
  - indicate inadequate respirations

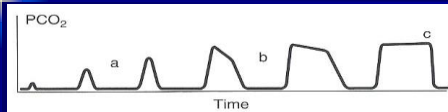
Gravenstein. Capnography 2<sup>nd</sup> edition

## Esophageal Intubation



- mask ventilation prior to intubation will produce CO<sub>2</sub> levels in esophagus
- place tube in esophagus → see CO<sub>2</sub> on capnograph
  - after few breaths → CO<sub>2</sub> is back to zero
  - know you are not in trachea
- avoid mistake if give 6 breaths prior to adding capnograph

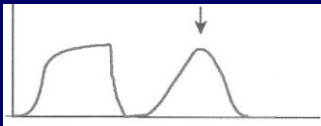
## Blind Nasal



- “a” → naso/oropharynx → dead space + some expired CO<sub>2</sub> → small waveform
- “b” → glottic opening → see wave similar to leakage at ET cuff
- “c” → in trachea now & see normal wave

Gravenstein. Capnography 2<sup>nd</sup> edition

## Obstruction



- ET tube
  - tube is kinked or obstructed by secretions
- Spontaneous breathing patient
  - beginning of airway obstruction

## Anesthesia & Hypoventilation

- with opioids we expect respiratory depression
  - slow respiratory rate
  - decreased tidal volume
  - or both
- stethoscope will help with rate
  - not really helpful with tidal volume
- capnography has a significant role here

## Types of Hypoventilation

### > bradypneic hypoventilation

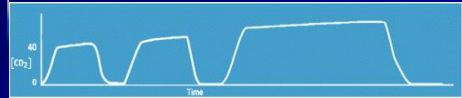
- ↓ respiratory rate
- normal tidal volume
- ↓ alveolar ventilation
- normal dead space fraction
- ↑ PaCO<sub>2</sub>
- normal P(a-et)CO<sub>2</sub>

#### Result

↑ ETCO<sub>2</sub>      PETCO<sub>2</sub> > 50 mm Hg

Ann Emerg Med. 2007

## Bradypneic Hypoventilation



### > bradypneic hypoventilation

- commonly associated with opioids
- > very slow respiratory rate
- > waveform ⇒ amplitude ↑ width ↑
- > if untreated ⇒ get respiratory failure & apnea see PETCO<sub>2</sub> ~ 80 mm Hg

## Hypopneic Hypoventilation

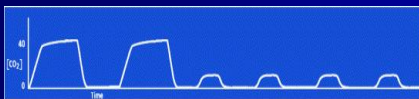
### Hypopneic Hypoventilation

- normal respiratory rate
- ↓ tidal volume
- ↓ alveolar ventilation
- ↑ dead space fraction
- ↑ PaCO<sub>2</sub>
- ↑ P(a-et)CO<sub>2</sub>

Ann Emerg Med. 2007; 50(2)

#### Result

normal, or ↓ ETCO<sub>2</sub>      PETCO<sub>2</sub> < 30 mm Hg



## Hypopneic Hypoventilation

- > anatomic dead space is normal
  - 150 ml
- > tidal volume is decreasing more than respiratory rate
  - get an ↑ in dead space volume to tidal volume ratio
- > waveforms ⇒ low amplitude & narrow width

## Hypopneic Hypoventilation

- differentiate from hyperventilation
  - need to use respiratory rate
  - hypopneic hypoventilation = slow rate
  - hyperventilation = fast rate
  - both have ↓ PETCO<sub>2</sub>
- usually due to sedative – hypnotics used in anesthesia

## Hypopneic Hypoventilation

- variable anesthetic course
  - low tidal volume may resolve as drug redistributes from CNS
  - could cause periodic episodes of apnea
  - could progress to central apnea
    - no respiratory drive
- SpO<sub>2</sub> will take at least 2 to 3 mins to see a decrease
  - one study: average of 3.7 mins

Pediatric Emerg Care. 2011;27(5): 394



- hypopneic hypoventilation with pauses
- treatment
  - reduce dose of agent
  - assess for airway obstruction
  - increase oxygen flow
  - may need to assist ventilations

Ann Emergency Med. 2007;50(2):176

## Hypoventilation & Shallow Breathing



- normal wave followed by hypopneic hypoventilation
  - ↓ resp rate & ↓ tidal volume
- stimulate patient to take a deep breath
  - now get alveolar CO<sub>2</sub> ⇔ ↑ CO<sub>2</sub> level

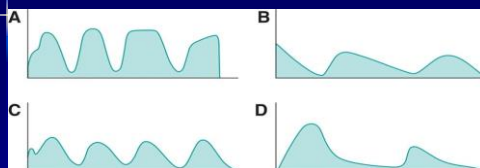
## Open Airway Techniques

- why use capnography?
- respiratory depression from sedation
  - 17 times more likely to be detected early with capnography than without
- ↓ in PetCO<sub>2</sub> in pediatric sedation with ketamine in ER
  - occurred on average 3.7 minutes before SpO<sub>2</sub> changes

J. Clin Anesth. 2011; 23:189

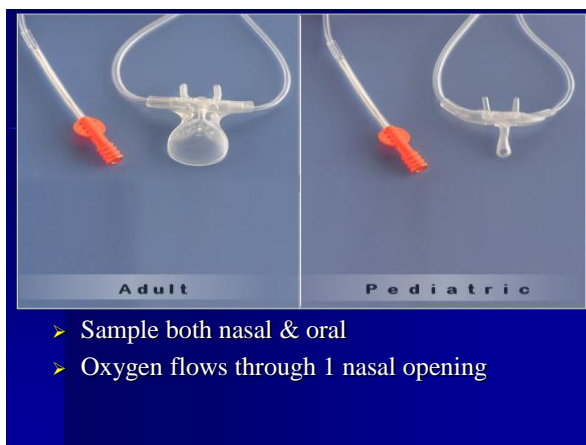
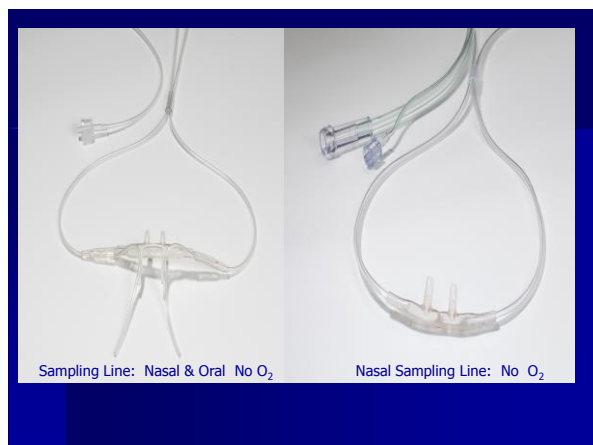
Ped Emerg Care. 2011; 27(5): 394

## Open Airway Techniques



- do not expect to see classic waveforms in open airway techniques
  - PetCO<sub>2</sub> diluted by O<sub>2</sub> or air
  - patient may be mouth breathing

Anesthesiology. 2013;118:192



- Sample both nasal & oral
- Oxygen flows through 1 nasal opening



## Nasal Cannula Monitoring

- Factors that may ↓ accuracy PetCO<sub>2</sub>
  - patient secretions & vapor clogging line
  - small tidal volumes
  - tachypnea
  - large volume of sampling line
  - high sampling rates
  - dilution by supplemental oxygen
  - mouth breathing

## Nasal Cannula Monitoring

- PaCO<sub>2</sub> – PetCO<sub>2</sub> gradient accuracy
  - multiple studies in children & adults show correlation to intubated patients

Ann J Emerg Med. 1995; 13: 30  
 Crit Care Med. 1997; 25: 1242  
 Minerva Anesth. 2001; 67:161  
 Anaesth. 2000;17: 622

## Nasal Cannula Monitoring

- non intubated deep sedation for cerebral angiography
  - nasopharyngeal airway
  - 1 group ⇨ stick sampling tube down airway
  - 1 group ⇨ use dual sampling nasal cannula
- results
  - PetCO<sub>2</sub> correlated to PaCO<sub>2</sub>
    - difference between nose & pharynx  $1.31 \pm 1.25$  mm Hg

J Neurosurg Anesthesiol. 2013;25(2): 191

## Nasal Cannula Monitoring

- Problems with dental anesthesia
  - mouth is not closed
    - dilution from mouth breathing
  - supplemental oxygen
    - recommend flow rates of **< 3 L/min nasal**
  - can not use full face mask
  - nasal airway can be used
    - exceed moderate sedation level

## Dental Anesthesia

- do not expect typical intubated waveforms
  - apply cannula prior to sedation to see baseline waveform and numbers
  - diagnosis is based upon changes in baseline
- not unusual to see no waveform but the patient is breathing

## Apnea

- Loss of capnogram
  - no waveform on monitor
- No chest wall movement
  - ECG impedance tracing = no waveforms
- No breath sounds detected
- Airway maneuvers → fail to reestablish breathing

## UAO Incomplete

- Capnograph waveforms
  - loss of definition: ↓ in amplitude & width
    - waveforms are more rounded
- See chest wall movement
- Hear breath sounds → noisy, snoring
- ECG impedance tracing → see waves
- Initiate airway maneuvers

## UAO Complete

- Capnograph → loss of waveform
- Chest wall movement
- ECG impedance tracing
  - tracing shows waveforms
- No breath sounds
- Initiate airway maneuvers to reestablish the airway
  - failed maneuvers → may be laryngospasm

## Complete Laryngospasm

- Capnograph → loss of waveform
- Chest wall movement
- No breath sounds
- ECG impedance tracing
  - see waveforms
- Airway maneuvers do not reestablish ventilations



**Strip #1****Strip #2 2 Liter flow****Strip #3 4 Liter flow****Strip #4 6 Liter flow**

### Strip #5



### Strip #6



### Strip #7



### Identify



## Strip #8



## Strip #9



## Strip #10

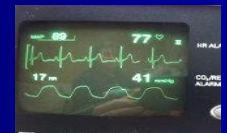


What is the third complex

- > Rebreathing during exhalation
- > Hiccup
- > Patient response to pain



- Is this bradypneic hypoventilation?
- Is this hypopneic hypoventilation ?
- Is this partial airway obstruction?
- Diagnosis: obstruction causing low tidal volume breathing.



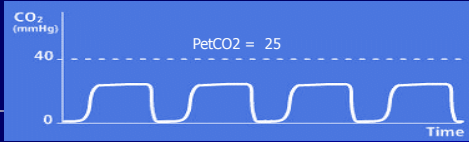
- obstructed ⇒ open airway ⇒ get back to normal



- obstruction
- open airway ⇒ expect to see ↑ PetCO<sub>2</sub> for few breaths
- then back to normal



- Diagnosis: Obstruction
  - PetCO<sub>2</sub> = 0 SpO<sub>2</sub> = 99



1. Fast respiratory rate
2. Waveform shows normal Phases
3. Low value for PetCO2

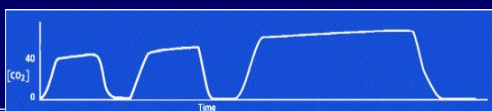
➤ Hyperventilation



1. Respiratory rate is slow ⇒ depressed
2. PetCO2 wave ⇒ low amplitude & width
3. Blood gas ⇒ PaCO2 is high
4. Shallow breathing

➤ Hypopneic hypoventilation

- small tidal volume = shallow breathing, low amplitude and wave width
- dead space breathing ⇒ no alveolar gas ⇒ low PetCO2



1. Respiratory rate is slow ⇒ getting very slow
2. Breathing is not shallow
3. Blood gas ⇒ PaCO2 is high
4. Waveform ⇒ wide & high amplitude

➤ Bradypneic hypoventilation

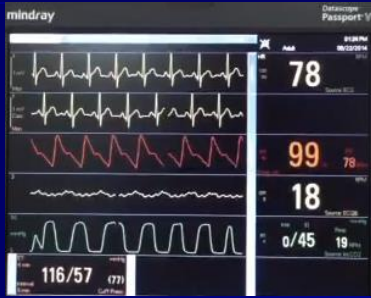
- Hypercarbic due to slow respiratory rate
- alveolar gas filled with CO2
- Tidal volume is unaffected for now
- as depression continues apnea will occur

## Low amplitude waves?

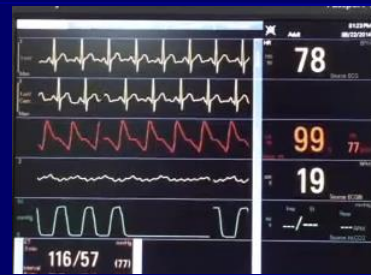




## General Anesthesia



## General Anesthesia



## Waking Up



## Interpretation



## Interpretation



## Interpretation



Thank you

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